

32692  
Customer Number

Patent  
59997US004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: HAMMERBECK, DAVID M.  
Application No.: 10/595049 Confirmation No.: 1834  
Filed: 05 Aug 2004  
Title: FORMULATIONS CONTAINING AN IMMUNE RESPONSE MODIFIER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]**

I hereby certify that this correspondence is being:

- ☒ transmitted to United States Patent and Trademark Office on the date shown below via the Office electronic filing system.

May 3, 2011

/Joyce M. Courtney/

Date

Signed by: Joyce M. Courtney

**STATEMENT UNDER 37 CFR § 3.73(b)**

The undersigned states that 3M Innovative Properties Company, a corporation organized and existing under the laws of the State of Delaware, United States of America, is:

1. ☒ the assignee of the entire right, title, and interest; or.
2. ☐ an assignee of less than the entire right, title, and interest. The extent (by, percentage) of its ownership interest is \_\_\_\_\_%.

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

- B. ☒ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee is shown below:
1. ☒ From the inventors to 3M Innovative Properties Company. The document was recorded in the United States Patent and Trademark Office at Reel 017032, Frame 0012.
  2. ☒ From 3M Innovative Properties Company to Coley Pharmaceutical Group, Inc. The document was recorded in the United States Patent and Trademark Office at Reel 019945, Frame 0698.
  3. ☒ From the Coley Pharmaceutical Group, Inc. to 3M Innovative Properties Company. The document was recorded in the United States Patent and Trademark Office at Reel 025480, Frame 0574.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

- ☒ Enclosed is a Power of Attorney to Prosecute Applications before the USPTO and Correspondence Address Indication Form.

The undersigned Practitioner of Record is authorized to act on behalf of the assignee.

February 18, 2011

Date

By: /Ted K. Ringsred/

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